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PTO/SB/21 (08-03)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/655,763	
	Filing Date	09/05/2003	
	First Named Inventor	Mohamed Nourmohamadian	
	Art Unit	2186	
	Examiner Name		
Total Number of Pages in This Submission	330	Attorney Docket Number	ULTERA.007A

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Technology Center (TC)
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	See "Remarks" for complete itemization of this submission
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks - Transmittal Form in 1 page - Information Disclosure Statement in 1 page; - Form PTO/SB/08A in 1 page - Form PTO/SB/08B in 1 page - Copies of 10 Cited References in 326 pages	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	LAW OFFICE OF GLENN R. SMITH
Signature	
Date	11/18/2004

CERTIFICATE OF TRANSMISSION/MAILING		
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.		
Typed or printed name	Glenn R. Smith	
Signature		Date 11/18/2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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ULTERA.007A

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Mohamad Nourmohamadian) Group Art Unit 2186
Appl. No. : 10/655,763)
Filed : September 05, 2003)
For : TAPE STORAGE EMULATOR)
Examiner :)

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

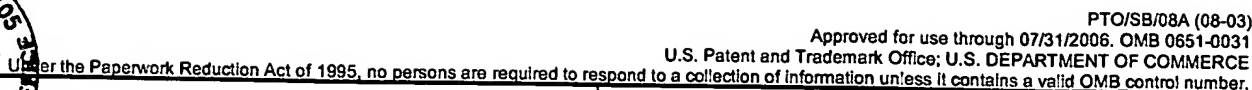
In accordance with the provisions of 37 C.F.R. § 1.56 and 37 C.F.R. § 1.97-1.98, it is requested that the references listed on the attached forms PTO/SB/08A-B be made of record in the above-identified application. This submission is filed in accordance with 37 CFR 1.97(b)(3) before the mailing date of a first office action on the merits. Copies of the ten cited references are also attached herewith.

Respectfully submitted,

LAW OFFICE OF GLENN R. SMITH

Dated: 11/12/2004

By: Glenn R. Smith
Glenn R. Smith, Esq., Reg. No. 38,308
Attorney of Record
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Telephone/Fax: (949) 709-7164



(Use as many sheets as necessary)

Sheet	1	of	2
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Complete if Known

Application Number	10/655,763
Filing Date	09/05/2003
First Named Inventor	Mohamad Nourmohamadian
Art Unit	2186
Examiner Name	
Attorney Docket Number	ULTERA.007A

U. S. PATENT DOCUMENTS

[illegible]

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/655,763
Filing Date	09/05/2003
First Named Inventor	Mohamad Nourmohamadian
Art Unit	2186
Examiner Name	
Attorney Docket Number	ULTERA.007A

Sheet	2	of	2
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NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		ULTERA SYSTEMS, INC.; Striper 4 Tape Series Tape Array Controller -- User Guide May 2002; Ultera Systems, Inc., Laguna Hills, CA	
		ULTERA SYSTEMS, INC.; Simply The Fastest Most Reliable Backup/Restore Solution Available 2002; Ultera Systems, Inc., Laguna Hills, CA	
		QUANTUM CORPORATION; Enhanced Backup Solutions -- Quantum DX 30 November 2002; Quantum Corporation, Irvine, CA	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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